

YOGESH PANDEY

Personal Profile

Date of Birth: 30th March 1985

Email-ID: Yigpandey@gmail.com

Marital Status: Single

Mobile: 9869200395

Linguistic Abilities: English, Hindi, Marathi & Gujarati

Hobbies: Reading, Walking, Listening Music, Playing Cricket

Address: 108/2, M.D. Building, 2nd Floor, Room no- 02, S.V. Road, Malad (west), Mumbai- 400064.

CAREER OBJECTIVE

I want to succeed in a stimulating and challenging environment and earn a job which provides me job-satisfaction and self-development and help me achieve personal as well as organizational goals.

EDUCATIONAL QUALIFICATION

Degree	Institute	Year of Passing	Passing Percentage
S.S.C	Maharashtra State Board	2001	58.26
H.S.C	Maharashtra State Board	2003	72.33
B.com	Mumbai University	2006	71.14
CA PEE-II	ICAI	2008	54.67

CERTIFICATIONS

- NISM-SERIES-V-A: Mutual Fund Distributors Certification Examination
- NISM-SERIES-XXI-A: Portfolio Management Services (PMS) Distributors Certification Examination
- NISM Series – XV – Research Analyst Certification Examination

PROFICIENCIES (Computer and Management Skills)

- Completed 250 hours of Computer training course of ICAI
- Completed General Management & Communication Skill Course of ICAI
- Excellent proficiency in MS Office applications (Excel, Word)

WORK EXPERIENCE:

- I. Edelweiss Asset Management** (from 16th October 2023 to 10th January 2024)
Designation: Associate
 - Relationship Management of Banking Channel for sales of Mutual fund
- II. Kotak Mahindra Bank Limited** (from 21st January 2019 to 03rd April 2019)
Designation: Deputy Manager
 - Daily accounting and calculation of NAV for PMS, AIF & FPI clients
 - MIS reporting to the clients
 - Interaction with clients and auditor

III. Chokshi & Chokshi LLP (from 25th June 2018 to 26th September 2018)

Designation: Audit Executive

- Daily verification of NAV components of client
- Weekly & Monthly reporting to client
- Verifications of Net Asset Value on account of Correctness & timelines

ARTICLESHIP TRAINING DETAILS

M.P. Chitale & Company, Chartered Accountants

(From 4th March 2008 to 18th May 2011)

NAV Verification (Concurrent Audit):

- Carrying out simultaneous verification of correctness of various NAV Components on daily basis. (Citi Bank Fund Accounting & HDFC Bank Fund Accounting)

Clients Handled: ING life insurance, Bharti Axa life insurance, DSP Blackrock PMS

Internal Audit of Portfolio Management Services (PMS) & Certification of Financials:

- Verification of KYC Compliances & Valuation Policies
- Verifying correctness of financials of Individual Investors as per SEBI regulations.

Clients Handled: JM Financial Asset Management Private Limited, Kotak Securities, Religare Securities & Quantum Advisory Services Limited

Internal Audit & Statutory Audit of Mutual Funds & Depository:

- Verification of Investment transactions, bank reconciliations & holding reconciliations,
- Verification of Net Asset Value on account of Correctness & timeliness, compliance with SEBI (Mutual Funds) Regulations, SEBI Circulars & Internal policies

Clients Handled: Internal audit - DSP Blackrock Mutual Fund & Mirae Mutual Fund
Statutory audit - IDFC Mutual Fund
Statutory audit - Central Depository Services Limited

Internal Audit of Asset Management Companies:

- Verification of Income & expenses, accounting of fixed assets & depreciation,
- Verification of bank reconciliation & holding reconciliation, Compliance with Companies act & SEBI (Mutual Fund) Regulations

Clients Handled: Bharti Axa AMC

Special Assignment - Change of Registrar & Transfer Agent (R&T):

- To ensure correctness of units purchased & redeemed, dividend, and closing units at Trial Run and during Actual Implementation. (Change of R&T from Cams to Karvy)

Clients Handled: Canara Robeco Mutual Fund